



PATENT

Case Docket No. AMAZON.062A3
Date: September 27, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Caplan, et al.

Appl. No. : 09/928,977

Filed : August 13, 2001

For : HOSTED SERVICES FOR
COLLECTING PAYMENTS
FROM AND PROVIDING
PERSONALIZED CONTENT
TO WEB SITE VISITORS

Examiner : UNKNOWN

Group Art Unit : 2165

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on

September 27, 2002

Ronald J. Schoenbaum
Ronald J. Schoenbaum, Reg. No. 38,297

TRANSMITTAL LETTER

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

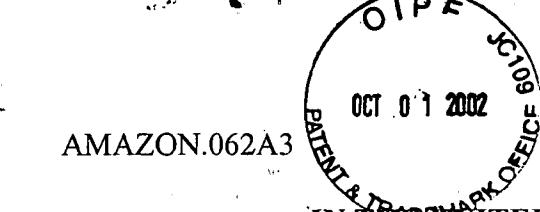
Dear Sir:

Enclosed for filing in the above-identified application are the following documents:

- (X) A Supplemental Information Disclosure Statement;
- (X) A PTO Form 1449 listing four (4) references, copies of which are enclosed; and
- (X) A return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Ronald J. Schoenbaum
Ronald J. Schoenbaum
Registration No. 38,297
Attorney of Record



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2165 OCT 01 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Applicants | : | Caplan, et al. |) Group Art Unit 2165 |
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| App. No. | : | 09/928,977 |) |
| | | |) |
| Filed | : | August 13, 2001 |) |
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| For | : | HOSTED SERVICES FOR COLLECTING PAYMENTS FROM AND PROVIDING PERSONALIZED CONTENT TO WEB SITE VISITORS |) |
| | | |) |
| Examiner | : | UNKNOWN |) |
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
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Dear Sir:

Enclosed is form PTO-1449 listing four (4) references that are also enclosed, all of which were cited by the PCT examining authority (USPTO) in counterpart PCT application WO/02/37233 A2. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 9-27-02

By: Ronald J. Schoenbaum

Ronald J. Schoenbaum

Registration No. 38,297

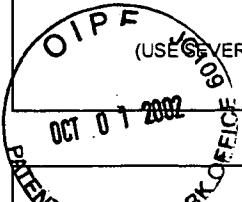
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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. AMAZON.062A3 | APPLICATION NO. 09/928,977 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | |
| (USE SEVERAL SHEETS IF NECESSARY) | | |
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| APPLICANTS Caplan, et al. | | |
| FILING DATE August 13, 2001 | | |
| GROUP ART UNIT 2165 | | |



U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|-----------------|----------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| | WO 00/67177 A2 | 11/09/00 | PCT | | | | |
| | JP02002092351A | 03/29/02 | Japan | | | | |
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| EXAMINER | /Clement Graham/ | DATE CONSIDERED | 04/26/2008 |
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***EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.**

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH /C.G./